ASSISTANT COMMISSIONER OF PATENTS

Box: New Application Washington, D.C. 20231

Date December 29, 2000

smitted herewith for filing under 35 U.S.C. 111 and 37. C.F.R. 1.53 is the patent application of:

₩ENTOR(S): SUSAN R. SANTOS, STEPHEN D. SIMON, and KAREN COX

A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS OF INTEREST WITHIN AN **ORGANIZATION** 

## Enclosed are:

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- Certificate of Mailing with Express Mail Mailing Label No. EL759815407US \_X
- 3 sheets of drawing(s)
- Combined Declaration and Power of Attorney
  - An Assignment of the invention to THE CHILDREN'S MERCY HOSPITAL.
- Applicant claims small entity status under 37 CFR 1.9 and 37 CFR 1.27. D)
  - Information Disclosure Statement

The filing fee has been calculated as shown below:

	(001. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	21-20=	* 1
INDEP. CLAIMS	4-3=	* 1
MULTIPLE DEPEN	IDENT CLAIM PR	ESENT

<sup>\*</sup>If the difference in col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY

	SIMALL LINTIT		
	RATE	FEE	
		\$ 355.00	
	x 9	\$ 9.00	
	x 40	\$ 40.00	
	+135		
TO	OTAL	\$ 404.00	

OTHER THAN A SMALL ENTITY

OR	RATE	FEE
OR		\$ 710.00
OR	x 18	
OR	x 80	
OR	+270	
OR	TOTAL	\$

X Please charge my Deposit Account No. 19-0522 in the amount of \$444 (\$404.00 filing fee plus \$40.00 recordation fee). A duplicate of this sheet is enclosed.

A check in the amount of \$ to cover the filing fee is enclosed.

- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0522. A duplicate of this sheet is enclosed.
  - Any additional filing fees required under 37 CFR 1.16.
  - Any patent application processing fees under 37 CFR 1.17.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this X application or credit any overpayment to Deposit Account No. 19-0522. A duplicate copy of this sheet is enclosed.
  - Any patent application processing fees under 37 CFR 1.16.

The issue fee set in 37 CFR 1.18 at or before the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(f).

Any fees under 37 CFR 1.16 for presentation of extra claims.



| 109||25||2040||110||0||0||16||15||211||1**2**||

## **CERTIFICATION UNDER 37 C.F.R. 1.10**

Enclosed for filing is the application for United States Letters Patent of SUSAN R. SANTOS, STEPHEN D. SIMON, and KAREN COX, Attorney Docket No. 30644, entitled A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS OF INTEREST WITHIN AN ORGANIZATION, including: Transmittal with authorization to charge Deposit Account for \$404.00 filing fee and \$40.00 recordation fee, Specification, Claims, Abstract, 3 sheets informal drawings, CD ROM Transmittal Letter with an original and one identical copy of CD Rom disks, Combined Declaration and Power of Attorney, Small Entity Statement, Assignment Cover Sheet, Assignment, and return card.

EL759815407US	December 29, 2000		
"Express Mail" mailing number	Date of Deposit		

I hereby certify that the above-noted papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to BOX NEW APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

| Jennifer Krygiel | Signature of person mailing | Signature of person mailing |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: SUSAN R. SANTOS et al.	Docket No.: 30644
Serial No.:	Group Art Unit No.:
Filed:	Examiner:
A SYSTEM AND METHOD FOR MONITORING AND ANALYZING DATA TRENDS OF INTEREST WITHIN AN ORGANIZATION	

Assistant Commissioner of Patents Washington, D.C. 20231

## TRANSMITTAL LETTER

Transmitted herewith are two CD-Rs ("compact disks-write once") labeled and hereafter referred to as Copy 1 and Copy 2.

Copy 1 and Copy 2 each contain an identical copy of a computer program listing appendix associated with and incorporated by reference into the above-identified non-provisional utility application. The machine format of each CD-R is IBM-PC; and the operating system compatibility is MS-Windows.

Copy 1 and Copy 2 each contain identical copies of the following ASCII files:

Filename	Size (Bytes)	Date of Creation
ACTCAT~1 FRM	2,004	11-28-00 9:40a
ACTDBR~1 FRM	1,983	09-28-00 10:47a
ACTLEV~1 FRM	1,992	09-28-00 10:06a
ACTMGR~1 FRM	1,951	11-28-00 9:41a
ACTOWN~1 FRM	1,983	09-28-00 10:04a
ACTPRI~1 FRM	1,990	09-28-00 10:06a
ACTUNI~1 FRM	1,985	09 <b>-</b> 28-00 10:07a
ACTUSR~1 FRM	1,979	09-28-00 10:09a
ACTWOR~1 FRM	1,987	09-28-00 10:21a
CCAM INI	5,014	12-20-00 7:37a
CCAM VBP	3,543	12-22-00 11:21a
CCAM VBW	1,718	12-22-00 3:03p
CCSS INI	721	12-24-00 11:05a

CCSS VBW CHDRIL~1 FRM CHDRIL~1 FRM CLSAPI CLS CLSAPP~1 CLS CLSAPP~1 CLS CLSAPT~1 CLS CLSAPP~1 CLS CLSCAPP~1 CLS CLSAPP~1 CLS CLSAPP~1 CLS CLSAPP~1 CLS CLSAPP~1 CLS CLSCAPP~1 CLS CLSCA	CCSS VBP	1,518	12-24-00 5:04p
CHDRIL~1 FRM CHPLOT FRM CLSAPI CLS CLSAPI CLS CLSAPP~1 CLS CLSAPT~1 CLS CLSDAT~1 CLS CLSDAT~1 CLS CLSDAT~1 CLS CLSDRI~1 CLS CLSDRI~1 CLS CLSERR~1 CLS CLSERR~1 CLS CLSERR~1 CLS CLSFLE~1 CLS CLSFLE~1 CLS CLSFLE~1 CLS CLSPLE~1 CLS CLSCLE~1 CLS CLSPLE~1 CL	CCSS VBW	·	12-24-00 5:04p
CHPLOT FRM CLSAPI CLS CLSAPP~1 CLS CLSAPP~1 CLS CLSDAT-1 CLS CLSDAT-1 CLS CLSDRI~1 CLS CLSDRI~1 CLS CLSERR~1 CLS CLSERR~1 CLS CLSERR~1 CLS CLSERP~1 CLS CLSERP~1 CLS CLSFLE~1 CLS CLSFORMS CLS CLSFORMS CLS CLSFORMS CLS CLSPORMS CLS CLSREP~1 CLS CLSPORMS CLS CLSCORMS CLS CLSCORMSA		20,135	12-24-00 5:04p
CLSAPP~1 CLS	CHPLOT FRM	6,667	12-22-00 11:45a
CLSDAT~1 CLS	CLSAPI CLS	3,031	12-22-00 11:33a
CLSDRI~1 CLS	CLSAPP~1 CLS	494	12-04-00 12:00p
CLSERR~1 CLS 3,341 12-14-00 9:17a CLSFLE~1 CLS 27,313 12-20-00 7:14a CLSFORMS CLS 13,222 12-15-00 3:14p CLSREP~1 CLS 1,418 12-04-00 1:24p CLSVIEW CLS 13,033 12-19-00 8:47p CLSWAR~1 CLS 494 12-04-00 11:33a CMHCCA~1	CLSDAT~1 CLS	6,925	12-22-00 11:33a
CLSFLE~1 CLS 27,313 12-20-00 7:14a   CLSFORMS CLS 13,222 12-15-00 3:14p   CLSREP~1 CLS 1,418 12-04-00 1:24p   CLSVIEW CLS 13,033 12-19-00 8:47p   CLSWAR~1 CLS 494 12-04-00 11:33a   CMHCCA~1	CLSDRI~1 CLS	8,444	12-22-00 1:27p
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CLSVIEW CLS CLSWAR~1 CLS CLSWAR~1 CLS CMHCCA~1 CMHCCS~1 CPLOTA~1 CLS CPLOTI~1 CLS CPLOTO~1 CLS CPLOTO~1 CLS CPLOTO~1 CLS CPLOTO~1 CLS CPLOTT~1 FRM C	CLSFORMS CLS	13,222	12-15-00 3:14p
CLSWAR~1 CLS	CLSREP~1 CLS	1,418	12-04-00 1:24p
CLSWAR~1 CLS	CLSVIEW CLS	13,033	12-19-00 8:47p
CMHCCS~1	CLSWAR~1 CLS		12-04-00 11:33a
CPLOTA~1 CLS	CMHCCA~1	<dir></dir>	12-29-00 2:51p
CPLOTI~1 CLS	CMHCCS~1	<dir></dir>	12-29-00 2:51p
CPLOTO~1 CLS	CPLOTA~1 CLS	4,491	12-08-00 1:37p
DBREPORT FRM         1,887         12-18-00 6:38a           FLCONT~1 FRM         8,292         12-22-00 10:49a           FLDRIL~1 FRM         8,898         12-24-00 11:03a           FLPLOTTB FRM         8,829         12-24-00 11:03a           FRMACC~1 FRM         5,329         08-28-00 3:22a           FRMADD~1 FRM         16,770         12-21-00 6:57p           FRMADD~2 FRM         15,338         12-21-00 6:57p           FRMADD~3 FRM         18,512         12-21-00 6:57p           FRMADD~4 FRM         14,346         12-21-00 6:57p           FRMADD~5 FRM         15,016         12-21-00 6:57p           FRMADD~6 FRM         13,996         12-21-00 6:57p           FRMADD~7 FRM         14,692         12-21-00 6:57p           FRMADD~8 FRM         15,394         12-20-00 8:54a           FRMADD~9 FRM         15,413         12-20-00 8:54a           FRMADD~1 FRM         29,154         12-19-00 7:56a           FRMBLA~1 FRM         575         12-13-00 4:41p           FRMCLI~1 FRM         575         12-13-00 3:01p           FRMCDEV~1 FRM         7,376         12-13-00 5:10p           FRMDEV~2 FRM         4,491         12-11-00 8:46a           FRMDEV~3 FRM         3,375 </td <td>CPLOTI~1 CLS</td> <td>10,138</td> <td>12-08-00 1:30p</td>	CPLOTI~1 CLS	10,138	12-08-00 1:30p
FLCONT~1 FRM 8,292 12-22-00 10:49a FLDRIL~1 FRM 8,898 12-24-00 11:15a FLPLOTTB FRM 8,829 12-24-00 11:03a FRMACC~1 FRM 5,329 08-28-00 3:22a FRMADD~1 FRM 16,770 12-21-00 6:57p FRMADD~2 FRM 15,338 12-21-00 6:57p FRMADD~3 FRM 18,512 12-21-00 6:57p FRMADD~5 FRM 14,346 12-21-00 6:57p FRMADD~5 FRM 15,016 12-21-00 6:57p FRMADD~6 FRM 13,996 12-21-00 6:57p FRMADD~7 FRM 14,692 12-21-00 6:50p FRMADD~8 FRM 15,394 12-20-00 8:54a FRMADD~9 FRM 15,413 12-20-00 8:54a FRMADD~1 FRM 29,154 12-19-00 7:56a FRMAXE~1 FRM 6,447 12-08-00 12:48p FRMBLA~1 FRM 575 12-13-00 4:41p FRMCLI~1 FRM 3,390 12-10-00 3:01p FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	CPLOTO~1 CLS	476	11-13-00 1:37p
FLDRIL~1 FRM         8,898         12-24-00 11:15a           FLPLOTTB FRM         8,829         12-24-00 11:03a           FRMACC~1 FRM         5,329         08-28-00 3:22a           FRMADD~1 FRM         16,770         12-21-00 6:57p           FRMADD~2 FRM         15,338         12-21-00 6:57p           FRMADD~3 FRM         18,512         12-21-00 6:57p           FRMADD~4 FRM         14,346         12-21-00 6:57p           FRMADD~5 FRM         15,016         12-21-00 6:57p           FRMADD~6 FRM         13,996         12-21-00 6:57p           FRMADD~7 FRM         14,692         12-21-00 6:57p           FRMADD~8 FRM         15,394         12-20-00 8:54a           FRMADD~9 FRM         15,413         12-20-00 8:54a           FRMADM~1 FRM         29,154         12-19-00 7:56a           FRMAXE~1 FRM         6,447         12-08-00 12:48p           FRMCLI~1 FRM         575         12-13-00 4:41p           FRMCON~1 FRM         7,376         12-13-00 5:10p           FRMDEV~2 FRM         4,491         12-11-00 8:40a           FRMDEV~3 FRM         3,704         12-11-00 8:40a           FRMINIT FRM         5,447         12-19-00 9:08a           FRMINIT FRM         5,447 <td>DBREPORT FRM</td> <td>1,887</td> <td>12-18-00 6:38a</td>	DBREPORT FRM	1,887	12-18-00 6:38a
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FRMADM~1 FRM 29,154 12-19-00 7:56a FRMAXE~1 FRM 6,447 12-08-00 12:48p FRMBLA~1 FRM 575 12-13-00 4:41p FRMCLI~1 FRM 3,390 12-10-00 3:01p FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMADD~8 FRM	15,394	12-20-00 8:54a
FRMAXE~1 FRM 6,447 12-08-00 12:48p FRMBLA~1 FRM 575 12-13-00 4:41p FRMCLI~1 FRM 3,390 12-10-00 3:01p FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMADD~9 FRM	15,413	12-20-00 8:53a
FRMBLA~1 FRM 575 12-13-00 4:41p FRMCLI~1 FRM 3,390 12-10-00 3:01p FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMADM~1 FRM	29,154	12-19-00 7:56a
FRMCLI~1 FRM 3,390 12-10-00 3:01p FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMAXE~1 FRM	6,447	12-08-00 12:48p
FRMCON~1 FRM 7,376 12-13-00 5:10p FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMBLA~1 FRM	575	12-13-00 4:41p
FRMDEV~1 FRM 4,114 12-11-00 3:39p FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMCLI~1 FRM	3,390	12-10-00 3:01p
FRMDEV~2 FRM 4,491 12-11-00 8:40a FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMCON~1 FRM	7,376	12-13-00 5:10p
FRMDEV~3 FRM 3,704 12-11-00 8:46a FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMDEV~1 FRM	4,114	12-11-00 3:39p
FRMDEV~4 FRM 3,375 12-11-00 8:46a FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMDEV~2 FRM	4,491	12-11-00 8:40a
FRMINIT FRM 5,447 12-19-00 9:08a FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMDEV~3 FRM	3,704	12-11 <b>-</b> 00 8:46a
FRMLOGON FRM 10,404 12-24-00 10:52a FRMMDI~1 FRM 522 08-03-00 4:38a	FRMDEV~4 FRM	3,375	12-11-00 8:46a
FRMMDI~1 FRM 522 08-03-00 4:38a	FRMINIT FRM	5,447	
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FRMMOD~1 FRM 16,452 12-18-00 8:31p			
	FRMMOD~1 FRM	16,452	12-18-00 8:31p

FRMMOD~2 FRM	16,192	12-04-00 9:39a
FRMMOD~3 FRM	18,274	12-04-00 9:39a
FRMMOD~4 FRM	16,226	12-04-00 5:15p
FRMMOD~5 FRM	16,962	12-05-00 11:43a
FRMNEW~1 FRM	13,329	12-21-00 6:55p
FRMNEW~2 FRM	12,781	12-21-00 7:49p
FRMNEW~3 FRM	13,707	12-21-00 6:57p
FRMNEW~4 FRM	8,584	12-21-00 6:58p
FRMNEW~5 FRM	12,481	12-21-00 7:47p
FRMNEW~6 FRM	16,068	12-21-00 6:48p
FRMNEW~7 FRM	12,874	12-21-00 7:49p
FRMPLO~1 FRM	3,337	12-10-00 2:50p
FRMPLO~2 FRM	4,697	12-08-00 10:42a
FRMPLO~3 FRM	3,670	12-10-00 2:58p
FRMSET~1 FRM	1,840	12-10-00 2:51p
FRMSHP~1 FRM	705	12-20-00 2:00p
FRMUSE~1 FRM	2,010	09-13-00 1:02a
FRMVIE~1 FRM	8,820	12-20-00 8:46a
FRMVIE~2 FRM	8,622	12-20-00 8:46a
FRMVIE~3 FRM	8,322	12-20-00 8:46a
LISTING TXT	5,490	12-29-00 11:44a
MDIMAIN FRM	7,885	12-19-00 11:22a
MODAPI BAS	3,738	12-22-00 9:47a
MODCLI~1 BAS	210	12-10-00 2:55p
MODMAIN BAS	3,141	12-24-00 10:52a
MODPLOT BAS	4,814	11-15-00 2:48p
MODPLO~1 BAS	5,020	12-08-00 1:57p
MSSCCPRJ SCC	196	12-11-00 8:10a
PRJPLO~1 VBP	1,336	12-10-00 3:01p
PRJPLO~1 VBW	664	12-13-00 9:52a
PRJVIE~1 VBP	693	12-12-00 5:00a
PRJVIE~1 VBW	199	12-12-00 5:00a
UNITRE~1 FRM	2,085	11-27-00 9:44a
USREPORT FRM	2,210	11-28-00 9:24a

Respectfully submitted,

Ву Thomas B. Luebbering, Reg. No. 37,874 2405 Grand Boulevard, Suite 400

Kansas City MO 64108

(816) 474-9050

ATTORNEY FOR APPLICANT(S)